NS3155: INTELLIGENCE AND DEMOCRACY (4 - 0)
Spring Quarter 2002

COURSE DESCRIPTION

This course examines the methods civilian authorities in emerging democracies can use to establish strong, effective controls over their intelligence agencies. The course begins by examining the intelligence process in the United States and the United Kingdom and the potential problems that intelligence activities can pose to democratic governance. Next, students will analyze the mechanisms used by the U.S., the U.K., France and other long-established democracies to maintain control over their intelligence organizations. These instruments of control include use of the power of the purse, structural and organizational arrangements, legislative oversight, and legal mechanisms. Employing the case study approach, students will examine the recent efforts by democracies in Latin America, Central Europe, Africa, and Asia to establish their own democratic controls over intelligence and the challenges that such nations will face in the future. PREREQUISITE: None. CLASSIFICATION: Unclassified.

INSTRUCTOR INFORMATION

Ken Dombroski
Lecturer, Center for Civil-Military Relations
kdombroski@nps.navy.mil

TEXTS

The following required texts are available for purchase at the NPS bookstore:

Mark M. Lowenthal, Intelligence: From Secrets to Policy (CQ Press, 2000)

Peter Gill, Policing Politics: Security Intelligence and the Liberal Democratic State (Cass, 1994)

Michael Herman, Intelligence Power in Peace and War (Cambridge, 1996)

Paul Wilkinson, Terrorism Versus Democracy (Cass, 2001)

The following optional text is available for purchase at the NPS bookstore:

Pat M. Holt, Secret Intelligence and Public Policy: A Dilemma of Democracy (CQ Press, 1995)
The following texts are on reserve in the NPS library:


The following articles are available in a course reader at the reserve desk in the NPS library:


Loch Johnson, “Intelligence and the Challenge of Collaborative Government,” *Intelligence and National Security* (Summer 1998), pp. 177-182


The following articles are available on the Internet for download:


COURSE REQUIREMENTS

This course will be conducted as a colloquium. The instructor will introduce the topics through a lecture followed by student discussions of questions and issues raised during the lecture and the assigned readings. Students are expected to do all assigned readings and actively participate in class discussions. The instructor will assign periodic position papers based on weekly discussion questions. The take-home final exam will be based on the assigned readings and the weekly discussion questions. The standards used in grading papers and examinations are described in The American Association of University Professors Statement on Professional Ethics adopted as a policy document by the National Security Affairs Department.

COURSE SCHEDULE

Course Overview

Week 1: Defining Intelligence
2 April Readings: Lowenthal, Ch. 1, pp. 1-9
Herman, Intro and Part I: Ch.1, pp. xi-15

4 April Intelligence as an Issue for Democratic Governance
Recommended: Gill, Introduction and Ch. 1, pp. 1-47
Holt, Ch. 1, pp. 2-19

Week 2: State and Security Intelligence Models
9 April Reading: Gill, Ch. 2-3, pp. 48-134
11 April  **Roles and Missions of Intelligence Agencies**  
Readings: Lowenthal, Ch. 2-4, pp. 10-52  
Herman, Part I: Ch. 2-3, pp. 16-57  
Recommended: Holt, Ch. 2-3, 20-53

**The Intelligence Process**

**Week 3:**  **The Intelligence Process – Collection**  
16 April  Readings: Lowenthal, Ch. 5, pp. 53-74  
Gill, Ch. 4, pp. 135-178  
Herman, Part II: Ch. 4-5, pp. 61-99  
Recommended: Holt, Ch. 454-79  
Eisendrath, Ch. 8, pp.149-171

18 April  **The Intelligence Process – Analysis and Production**  
Lowenthal, Ch. 6, pp. 75-97  
Gill, Ch. 5, pp. 179-206  
Herman, Part II: Ch. 6-7, pp. 100-133, Part V: Ch.16, pp. 283-304  
Recommended: Holt, Ch. 5 pp. 80-108

**Week 4:**  **The Intelligence Process- Role of the Policy Maker**  
23 April  Readings: Lowenthal, Ch. 9, pp. 120-132  
Herman, Part III: Ch. 8-9, pp. 137-164  

25 April  **The Intelligence Process – Counterintelligence and Covert Action**  
Readings: Lowenthal, Ch. 7-8, pp. 98-119  
Herman, Part III: Ch. 10-12, pp. 165-218  
Recommended: Holt, Ch. 6-7, pp. 109-167  
Eisendrath, Ch. 2 and 5, pp. 23-44 and 76-91

**Week 5:**  **Problems in the Process - US and UK Perspectives**  
30 April  Readings: Herman, Part IV, Ch. 13-15, pp. 221-279  
Eisendrath, Ch. 4, pp. 61-75
2 May  

Case Study: French Intelligence Culture (Guest Lecturer)  
Reading: Douglas Porch, “French Intelligence Culture: A Historical and Political Perspective,” Intelligence and National Security (July 1993), pp. 486-511

Controlling Intelligence Activities in a Democracy

Week 6:  
Intelligence Structures and Democratic Control  
7 May  
Readings: Herman, Part V: Ch.16-18, pp. 283-338  
Gill, Ch. 6, pp. 207-247  
http://www.ksg.harvard.edu/justiceproject/workingpapers.htm

9 May  
Case Study: Structural Change in Brazil (Guest Lecturer)  
Readings: Alfred Stepan, Rethinking Military Politics (Princeton, 1988), Ch. 2, “The Brazilian Intelligence System in Comparative Perspective”  

Week 7:  
Executive Oversight of Intelligence in Democracies  
14 May  
Readings: Lowenthal, Ch. 10, pp.133-135  
Gill, Ch. 7, pp. 248-273  
Holt, Ch. 9, pp. 189-208  
Loch Johnson, “Intelligence and the Challenge of Collaborative Government,” Intelligence and National Security (Summer 1998), pp. 177-182

16 May  
Legislative and Judicial Oversight of Intelligence in Democracies  
Readings: Lowenthal, Ch. 10, pp. 136-154  
Holt, Ch. 10, 209-236  
Eisendrath, Ch. 9, pp. 172-189  
Gill, Ch. 8, pp. 274-310  
http://www.ksg.harvard.edu/justiceproject/workingpapers.htm  
L. Britt Snider, Sharing Secrets With Lawmakers: Congress as a User of Intelligence (CIA, 1997)  
http://www.cia.gov/csi/pubs.html  
http://www.odci.gov/csi/studies/96unclass/manget.htm

Week 8:  
The Role of the Media and Public Awareness  
21 May  
Readings: Holt, Ch. 8, 171-188  
Eisendrath, Ch. 6, pp. 92-117

23 May  **Case Study: Transforming Security Intelligence in South Africa**  
Shawn McCarthy, “South Africa’s Intelligence Reformation,” *International Journal of Intelligence and Counterintelligence* (Spring 1996)  

### Intelligence Issues in Democracies

**Week 9:**  **Intelligence Agencies and Democratization**  
28 May  
**Readings:** Gill, Ch. 9, pp. 311-351  
Herman, Parts VI-VII, 341-385  

30 May  **Case Study: The Legacy of the Securitate in Romania**  
**Readings:** Williams and Deletant, Ch. 1 and 6-7, pp. 1-23 and 159-262

**Week 10:**  **Terrorism and the Threat to Democracies**  
4 June  
**Reading:** Wilkinson, Ch. 1-3, pp. 1-77

6 June  **Dealing With Terrorism in a Democracy**  
**Reading:** Wilkinson, Ch. 4-6 and 8, pp. 78-136 and 157-173

**Week 11:**  **Ethical and Moral Issues in Intelligence**  
11 June  
**Readings:** Lowenthal, Ch. 13, pp. 184-200  
Wilkinson, Ch. 7 and 9-10, pp. 137-156 and 174-202

13 June  **Intelligence Challenges for the Future**  
**Readings:** Lowenthal, Ch. 12, pp. 167-183  
Wilkinson, Ch. 11-12, pp. 203-234  
**Recommended:** Eisendrath, Ch. 1, pp. 8-22  
Holt, 237-249

**Week 12:**  **Final Exam Due - 18 June**